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(Only for new nonprovisional applications under 37 CFR 1.53 (b))

TI-33469/TXN-022

Suresh BALASUBRAMANIAN

<i>Title</i>	Generating a Lock Signal Indicating Whether an Output Clock Signal Generated by a PLL is in Lock with an Input Reference Signal
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Express Mail Label No. _____

See MPEP chapter 600 concerning utility patent application

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | | | |
|--|-------------------------------------|---|------|
| 1. | <input checked="" type="checkbox"/> | Free Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i> | |
| 2. | <input type="checkbox"/> | Applicant claims small entity status.
See 37 CFR 1.27. | |
| 3. | <input checked="" type="checkbox"/> | Specification [Total Pages
<i>(preferred arrangement set forth below)</i> | 37] |
| <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the disclosure | | | |
| 4. | <input type="checkbox"/> | Drawing(s) 35 U.S.C. 113) [Total Sheets | 9] |
| 5. | <input type="checkbox"/> | Oath or Declaration [Total Pages | 1] |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Ser. 19 completed)</i>
i. <input type="checkbox"/> DELETION OF INVENTOR(S)
signed statement attesting deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b). | | | |
| 6. | <input type="checkbox"/> | Application Data Sheet. See 37 CFR 1.76 | |

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- | | | | |
|-----|-------------------------------------|---|---|
| 9. | <input checked="" type="checkbox"/> | Assignment Papers (cover sheet & document(s)) | |
| 10. | <input type="checkbox"/> | 37 CFR 3.73(b) Statement (when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 11. | <input type="checkbox"/> | English Translation Document (if applicable) | |
| 12. | <input checked="" type="checkbox"/> | Information Disclosure Statement (IDS)/PTO-1449 | <input checked="" type="checkbox"/> Copies of IDS Citations |
| 13. | <input type="checkbox"/> | Preliminary Amendment | |
| 14. | <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 15. | <input type="checkbox"/> | Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| 16. | <input type="checkbox"/> | Notification Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | |
| 17. | <input type="checkbox"/> | Other: | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Group Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

23494

Name	Ms. Rose Keagy
------	----------------

Texas Instruments Incorporated

Address P.O. Box 655474, MS 3999

City	Dallas
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State	Texas
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Zip Code	75265
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Country

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Telephone

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(972) 917-4418

Name (Print/Type)

Narendra R. Thappeta

Registration No. (Attorney/Agent)

41416

Signature _____

Naren Thappa

Date _____

December 14, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Suresh BALASUBRAMANIAN
Examiner Name	
Group Art Unit	
Attorney Docket No.	TI-33469/TXN-022

TOTAL AMOUNT OF PAYMENT (\$1740.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 20-0668
Deposit Account Name Texas Instruments Incorporated

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	201 370 Utility filing fee	740.00
		106	330	206 165 Design filing fee	
		107	510	207 255 Plant filing fee	
		108	740	208 370 Reissue filing fee	
		114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	20**	16 X 18	288.00
Independent Claims	11	8 X 84	672.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	203 9 Claims in excess of 20	
		102	84	202 42 Independent claims in excess of 3	
		104	260	204 140 Multiple dependent claim, if not paid	
		109	84	209 42 **Reissue independent claims over original patent	
		110	18	210 9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 960.00)

**or number previously paid, if greater; For Reissuance, see above

FEE CALCULATION (continued)

Fee Code	Large Entity	Fee Code	Small Entity	Fee (\$)	Fee Description	Fee Paid
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after	
115	110	215	55		Extension for reply within first month	
116	400	216	200		Extension for reply within second month	
117	920	217	460		Extension for reply within third month	
118	1,440	218	720		Extension for reply within fourth month	
128	1,960	228	980		Extension for reply within fifth month	
119	320	219	160		Notice of Appeal	
120	320	220	160		Filing a brief in support of an appeal	
121	280	221	140		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,280	241	640		Petition to revive - unintentional	
142	1,280	242	640		Utility issue fee (or reissue)	
143	460	243	230		Design issue fee	
144	620	244	310		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Processing fee under 37 CFR 1.17(c)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370		For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type)	Narendra R. Thappeta	Registration No (Attorney/Agent)	41416	Telephone	+1(510)342-2519 x8580
Signature	<i>Naren Thappeta</i>	Date	December 14, 2001		

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